Vision
To be Asia’s leading provider of corporate risk prevention, detection, and investigation services.

Mission
To provide our clients with the most effective and reliable risk mitigation services carried out by local experts with the highest international standards of integrity.
We deal with a broad range of corporate and commercial fraud: fraud investigation comprising procurement and theft investigation, scam investigation, insurance claim investigation, asset tracing to identify and locate assets as well as skip tracing to determine the whereabouts of missing people. Our methodologies include open-source enquiries, interviews, background checks, discreet field enquiries and Digital Forensics for acquisition and discovery of evidence. Our consultants provide Fraud Risk Assessment and Fraud Risk Management services to detect and prevent fraud as well as asset tracing and skip tracing as part of remediation actions.

We provide our clients with brand protection programs which include product integrity threat detection (market inspections, reporting hotline), trademark investigations, product integrity threat investigations such as non-use investigations, anti-counterfeiting and parallel trading investigations, as well as advocacy and awareness programs with law enforcement agencies. The results of our enquiries may lead to enforcement by reporting the cases to the relevant authorities.

We assist our clients with setting-up, implementing and improving Governance, Risk Management and Compliance systems by vetting for red flags related to the integrity of the work force (pre-employment screening as well as periodic monitoring through Prisma™), managing third party risk by assessing the integrity of the supply chain (vendor screening) and the risk related to new partnerships and acquisition targets (pre-transactional due diligence), assessing compliance to procedures (mystery shopping) and through detection and deterrence of fraud (whistleblowing hotline through Canary™).
Solutions in accordance with international and local legal, cultural, managerial requirements and standards.
Recruiters gauge the integrity of their candidates worldwide. To verify the candidate’s claims, our team of screeners assess the validity of information provided in the curriculum vitae and other supporting documents by conducting a background check. Attempts to provide false information such as forged diplomas or false employment records are foiled. In addition to fact-checking, our screeners enquire on reputation and performance.
Pre-employment screening includes the following checks:

- ✔ Educational check to verify whether the candidate has indeed studied and graduated as per information provided;
- ✔ Professional qualifications check to verify whether the professional certifications are genuine;
- ✔ Employment history to check information related to position, dates of employment, reputation, performance and reason for leaving;
- ✔ Reference checks whereby former supervisors are contacted to ascertain past reputation and performance;
- ✔ Bankruptcy check to determine whether the candidate has been involved in bankruptcy cases;
- ✔ Civil litigation & criminal check to determine whether the candidate has been involved in lawsuits;
- ✔ Reverse directorship search, aimed at identifying an individual’s potential involvement in private companies as a director or a shareholder;
- ✔ Group Sanctions check;
- ✔ Media check which involves a printed media and online to ascertain whether any detrimental information has ever been reported;
- ✔ Verification of contact details and emergency contact details;
- ✔ Verification of current residential address.

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Prisma™ was developed by Integrity Asia as a pre-employment screening application which:

- systematizes the management of the screening process
- facilitates the management to supervise in real time the screening requests
- streamlines processes to reduce turnaround time
- automatizes the report generation and archives the reports

This is an internet–based system accessible from computers and mobile devices, anywhere in the world at any time.

The interface is user friendly and allows a secure and swift high-quality screening process while avoiding a flow of emails.
Prisma™ is designed to allow our clients to:

- Submit new screenings
- Manage requests & follow-up the process
- Use discussion boards with our account managers
- Decide on screening levels based on predefined contractual agreements
- Check on historical data
- Online reporting

Our engine room:

Our office screeners work from professional phoning rooms where information is collected through desktop researches and phone calls. The results are immediately recorded in Prisma™ application.

Our team on the field:

Our field screeners visit universities and institutions that are difficult to reach over the phone as well as conduct field visit to verify the residential addresses provided.
Internal controls may not always be sufficient to detect fraud: a whistleblowing hotline system constitutes a proven tool for anti-fraud work. A whistleblowing hotline managed by an experienced third party insures confidentiality and professional follow-up and reporting. Our proprietary Canary™ whistleblowing system allows our clients to uncover problems early and address them before they get worse. To protect the whistleblower and thereby ensuring the success of the whistleblowing program, Canary™ allows the whistleblower to choose between:

- Full disclosure
- Confidentiality
- Anonymity
Tailored and dedicated system:
Our clients can decide to provide their employees, third parties or the public with various reporting channels:
- Secured Website Form
- Text Message
- Phone / Fax
- Postage Mail
- Mobile App
- Email
- Online Chat
Our clients can adapt our template web form and phone scripts to suit their organization requirements.

Case Management:
Integrity Asia will provide for all cases:
- System access to all anonymous reports
- Notifications based on escalation procedure
- Analysis
- Periodic Reporting

For more information on our whistleblowing hotline, please click: www.canary-whistleblowing.com

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Due diligence is conducted to insure integrity in the supply chain and prior to making important business decisions in areas such as corporate mergers/acquisitions, or partnership. Due diligence is a deep background check on a prospect, be it a corporation, its principals or a specific individual.
We provide Vendor Screening, Reputational Due Diligence and Enhanced Due Diligence depending on our client’s requirements.

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<tr>
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<th>Vendor Screening</th>
<th>Reputational Due Diligence</th>
<th>Enhanced Due Diligence</th>
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<tbody>
<tr>
<td>Beneficial ownership search</td>
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<tr>
<td>Verification of provided documentation</td>
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<tr>
<td>Digital and media search</td>
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<td>Litigation and bankruptcy checks</td>
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<td>Global sanctions checks</td>
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<td>Field visits</td>
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<td>Reputation checks</td>
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<td>Source inquiries</td>
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<td>Interview with company’s principals</td>
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<tr>
<td>Review of all findings and further research</td>
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**Turn-around time**

<table>
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<tr>
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<th>Reputational Due Diligence</th>
<th>Enhanced Due Diligence</th>
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<td>12 days</td>
<td>15 days</td>
<td>20 days</td>
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Know Your Vendor™ solution helps our clients mitigate supply chain risks by providing a consolidated panorama for due diligence on third parties. Using the most recent technologies to monitor your supply chain, it allows to import your vendors and assigns to each of them a questionnaire, which is a critical step for the due diligence. You can follow the progression of the due diligence in real time and access the report and scoring at a click of a button.

Managing compliance in your supply chain:

- Manual creation of vendors and sub-vendors
- Import database of vendors and sub-vendors
- Classification scheme and tag system
- Status of due diligence completion within the supply chain
- Communication Channel between our client and our team
- User-friendly dashboard with search functions and filtering
**Onboarding your vendors:**

Our client can decide for each vendor whether or not it should be subjected to a due diligence and to which level. Onboarding can be done either by our client’s compliance team or via an invitation to the vendor to fill a questionnaire.

- Customized compliance questionnaire
- To be filled either by your compliance team or by vendor
- Onboarding feature for vendor with compliance questionnaire
- Uploading of all corporate documents by vendor

**Screening your vendors:**

Our client may order due diligence based on built-in predefined packages.

- Tailored-made due diligence packages
- Order due diligence based on risk levels
- Follow-up discussion board on screening process
- Overview of screening’s status
- Scoring
- Access to due diligence reports

For more information on Know Your Vendor™ System, please click: www.knowyourvendor.net
Organizations of all sizes and from all business fields are at risk of fraud. In order to prevent, deter and mitigate fraud, organizations must assess their fraud risk, set-up and strengthen their fraud risk management systems and investigate allegations and indication of fraud. Our consultants are involved in all these areas by offering our clients their expertise and experience.
Fraud Risk Assessment

The identification and assessment of fraud risk is necessary to understand the specific fraud activities relevant to our client’s activities and background. An evaluation of the existing fraud control procedures allows our consultants to formulate a gap analysis, identify corrective actions and set up or improve an appropriate fraud risk management program.

Fraud Risk Management

To deter the occurrence of fraud, Integrity Asia provides our client with expertise to set-up and implement a visible and transparent fraud risk management program that allows to create an anti-fraud environment. In addition to the prevention such a program provides, the processes will allow early detection, appropriate investigations and swift remediation of all occurrence of fraud.

Fraud Investigation

Fraud can bring a devastating impact for both business and public however the fraud investigation itself must also be fully compliant. Indication or allegations of fraud must be investigated with proper procedures, techniques, and skills. Integrity Asia with more than a decade of experience will guarantee a comprehensive, compliant, thorough, and discreet approach in identifying and investigating fraud. Our team is qualified to conduct pragmatic, evidence-based investigations and other potential wrongdoings promptly, resulting in objective conclusions that will help you protect your business.
Our Methodologies

1. Document review and analysis
   Our consultants will collect all relevant information and will conduct a thorough analysis:
   - Analysis of documents provided or collected from digital forensics
   - Desktop searches
   - Background checks

2. Interviews and search for witnesses
   Based on the information collected and analysis, our fraud investigators will carry out the following activities:
   - Interviews and audits
   - Search for witnesses
   - Monitoring on suspected individuals
   - Discreet approaches to employees or external parties

3. Digital Forensics
   - IT forensics (acquisition, recovery, discovery)
   - Mobile phone forensics (acquisition, recovery, discovery)
Today’s businesses have become more dependent on technology since data are created, stored, and shared electronically. With the increasing use of electronic devices, companies are posed with fraud risks that take place digitally. Whenever fraud is suspected, it is important to investigate these devices to find and protect the evidence of any wrongdoings for further investigation and legal proceedings.

Appropriate digital forensic techniques and tools will help you to:

- Preserve original data
- Identify clues to further an investigation
- Recover deleted files
- Uncover internet history and emails

Our clients have trusted us to provide expertise in digital forensic techniques by finding and restoring information which has been hidden, changed, or deleted.
Faced with increasing challenges in combatting trademark infringements, product tampering, counterfeiting and parallel trading, our clients rely on Integrity Asia to provide professional brand protection services to deal with the complexity of:

- Closely monitoring market developments
- Identifying supply chains that may be cross-border and secretive in nature
- Enforcing intellectual property rights

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Integrity Asia has an extensive experience in providing clients with brand protection programs which include:

- Product Integrity threat detection
- Trademark investigations
- Product Integrity threat investigations
- Enforcement

**Product Integrity Threat Detection**
To identify product integrity threats, we conduct market surveys, product sampling, and market inspections on intellectual property infringements such as applications for similar trademarks, infringing online use of a trademark, and the presence of counterfeit, tampered and parallel imported products on the market. We also provide anti-counterfeiting hotlines through our Canary ™ whistleblowing hotline system as a communication tool to allow stakeholders or the public to report IPR infringements.

**Trademark Investigations**
We conduct field inquiries, market inspections, and desktop searches to document and collect evidence on the potential illegal use of a trademark. Non-use investigation will determine whether the trademark is being used by the trademark registrant or any other party in any way on any type of product in the market. Our report may be used to support trademark registration deletion purposes.

**Product Integrity Threat Investigations**
Based on incidents, sightings or initial leads, we conduct discreet approaches towards physical and online retailers as well as trademark squatters to identify wholesalers, importers, and manufacturers involved in counterfeiting. For parallel trading issues, our team conducts enquiries by uncovering the leaks in their supply chain. Investigations may involve cross-border work to find the breach in the distribution network. Our reports may be used by our clients to enforce distribution agreements.

**Enforcement**
Reports may be filed with Law Enforcement Agencies for enforcement purposes. As a regular activity for awareness and advocacy purposes, Integrity Asia provides product knowledge training to LEA.
The quality of a service and the adherence by employees to set procedures greatly impact a business. In order to obtain a quick, reliable and unbiased review, a business will benefit from the use of efficient and cost-effective mystery shopping program.

We provide a tailored service to collect information on business service quality and evaluate compliance to standard operating procedures. Our mystery shoppers will perform specific actions such as purchasing a product, asking questions, or behaving in a certain way according to a pre-approved scenario in order to provide staff performance evaluation, review of internal process and procedures, or gather specific information about products and services in an objective and timely manner.
Our methodology:

1. **Testing the program with a pilot project**
   - Before launching the program, we will test the scenario, questionnaires and report templates. The program may be adapted based on the results of the pilot project.

2. **Designing a mystery shopping program**
   - Based on defined objectives, targets and timeline, our consultants will set up the methodology.

3. **Implementation**
   - Our mystery shoppers will carry out their assignments based on the final methodology and plan.

4. **Monitoring**
   - To determine if the recommendations have been implemented, our mystery shoppers may conduct additional mystery shopping assignments.

5. **Reporting**
   - Our consultants will submit a comprehensive report with analysis, detailed accounts, supporting evidence, and recommendations for remediation in a timely manner.

6. **Review of procedures**
   - Our consultants may review or draft the procedures to improve or modify them to achieve the desired results.
Insurance fraud occurs when an individual tries to obtain benefits from an insurance company that he or she is not entitled to. From deliberately making false or inflated claims to manipulating data, insurance fraud schemes are not only illegal and dangerous, they will adversely impact the industry, business partners, clients, and the general public. Fighting fraud should be a serious priority for every insurers to protect their business and clients from fraud.

Insurance fraud investigation provided by Integrity Asia aims to assist insurers in identifying insurance fraud schemes. Our team will gather evidence to uncover whether an individual or organization has fabricated false claims, inflated claims, or intentionally manipulated data. This particular service includes underwriting investigations as well as insurance claim investigation.
Underwriting Investigation

Integrity Asia will conduct underwriting investigations and obtain evidence to support the underwriting process based on the agreed scope of work. Our consultants may investigate misrepresentation, false information, fictitious policies, and other potential underwriting irregularities.

Claim Investigation

Based on preliminary information provided, our fraud investigators will verify, cross check and research to provide adequate data and evidence for insurance companies to decide whether to accept or reject an insurance claim.

For more than a decade, Integrity Asia has conducted various insurance investigations in the following areas:

- **Health insurance.** Determine whether the claimant is getting paid for health care they do not actually receive or is filing health care claims that are not valid or necessary.
- **Life insurance.** Uncover cases of pre-medical conditions, faked or staged death, fraudulent coverage of murdered individuals.
- **Workers compensation insurance.** Verify the accident and severity of the injury from workers who claim compensation for an injury.
- **Other general insurance.** Verify the incidents related to damage, theft, fire, accidents, liability, etc.
- **Broker / Agent fraud.** Investigate the involvement of brokers and agent in fraudulent activities.

Our methodology:

- Surveillance
- Witness search
- Interviews
- Medical reports
- History search
- Background check
- Activity check
- Directory search (phone directories, online directories, database)
- Focused media check (Internet and newspapers, obituaries, etc)
- Verification with relevant institutions or organizations (police units, hospitals, clinics, laboratories, doctors, undertakers, funeral homes, etc)
- Collection of photographic evidence
Asset tracing is commonly used for credit default, insolvency proceedings, due diligence, litigation, dealing with compensation issues arising from personal or property injuries and circumstantial evidence in cases of embezzlement, white collar crime, and misappropriation of funds and assets. Integrity Asia provides asset tracing.
Commonly traced assets are:

- Vehicles
- Real estate, factories, land
- Possessions
- Shareholding
- Financial resources
- Company assets
- Anything that can be converted into cash

Integrity Asia has an extensive experience in tracing assets of individuals and companies combining thorough desktop searches and extensive field enquiries.

**Our methodology:**

- Desktop searches
- Checks of public records
- Surveillance and monitoring
- Search for sources and informants
- Interviews with relevant sources
- Field visits throughout our coverage
- Collaboration with an international network of sub-contractors
Skip tracing is an investigative activity to track down and find a person whose contact information is no longer accurate. The target of this investigation is usually someone who has skipped out on a legal or financial obligation.

From finding missing debtors to locating witnesses, Integrity Asia has more than a decade of experience in helping businesses track individuals. Based on preliminary information, our skip tracing team will check all available information, investigate, and find evidence supporting the successful locate of the targeted individual.
Skip tracing is commonly practiced to locate:

- Debtors who have defaulted on payments
- Witnesses for attorneys
- Defendants who missed court appearances
- Individuals who skipped bail
- Subjects who need to be served with legal documents
- Customers or clients who failed to pay a business for rendered services

**Our methodology:**

- Desktop and database searches
- Internet searches
- Gather information from sources
- Discreet approaches and visits
- Surveillance